***ANNE L. LUCAS, MS, OTR***

**Academic Background**

MS, Occupational Therapy

Medical College of Virginia/Virginia Commonwealth University, 1976

BA, Sociology and Anthropology, Susquehanna University, 1973

**Professional Experience**

**Technical Assistance Specialist, Early Childhood Technical Assistance Center, University of North Carolina (January 2003 – present)**

Responsible for providing consultation and technical assistance to state administrators in the continental U.S. and its territories regarding the adminstration and implementation of the Individuals with Disabilities Education Act programs for infants, toddlers and preschool children with disabilities. Technical Assistance focuses on facilitating systemic change activities to improve state/local administration and infrastructure supports, enhance provider practices, and improve program performance and results for children and families.

**Technical Assistance Specialist, Center for IDEA Early Childhood Data Systems (DaSy)** **(October 2014 - present)**

Responsible for providing technical assistance to Part C and Section 619 Coordinators and Data Managers in the development or enhancement of coordinated early childhood longitudinal data systems. Technical Assistance also focuses on using data for program improvement.

**Technical Assistance Liaison, University of Oregon, Western Regional Resource Center** (**July 2007 – January 2015)**

Responsible for providing consultation and technical assistance to state administrators in the western region of continental U.S. and Pacific entities regarding the administration and implementation of the Individuals with Disabilities Education programs. (See UNC description)

**Consultant to the State of Alaska Early Intervention Infant Learning Program (December 2012 - December 2013)**

Consulted with the State of Alaska Part C early intervention program in revising their early intervention regulations, policies and procedures, and the state monitoring manual and forms to comply with Federal regulations. Developed the state application and a draft RFP for local programs. Reviewed the state data base to ensure that necessary data was being collected to reflect the revised monitoring protocols.

**Consultant to the State of Washington Early Support for Infants and Toddlers (March 2010 - September 2011)**

Consulted with the State of Washington, Part C early intervention program on a systems improvement project. Developed forms, guidance, state policies and procedures that met federal requirements and incorporated effective practices to improve results for children and families. Assisted the state in linking the forms and guidance to their general supervision system and to the building of a new Data Management Sytems. Designed a process for integrating child outcome measurement into the IFSP process.

**Consultant to the State of Wyoming Early Intervention Program (April 2007 – March 2008)**

Consulted with theWyoming Division of Developmental Disabilities to assist their early intervention program in developing a statewide manual to guide their early interventionmonitoring system.

**Part C Coordinator, Commonwealth of Virginia (September 1989 – January 2003)**

Responsible for the state administration of Virginia’s implementation of Part C of the Individuals with Disabilities Education Act program. Primary duties included: providing leadership and direction for Virginia's Part C system in coordination with nine (9) state participating agencies; developing state policy and related guidance in collaboration with state participating agencies; designing and conducting efficiency and effectiveness evaluations including data analyses and development of improvement strategies; developing and managing a multimillion dollar budget and ensuring fiscal accountability across local agencies and providers; developing and implementing an interagency accountability system, including contract management, to ensure compliance/ correction of non-compliance, and enhance program improvement; developing and implementing a web-based data system (linked to the Virginia Department of Health High Risk Infant Tracking Program) to collect and report required state and federal data, and improve compliance and performance at the state and local level; and provision of technical asssistance/training and supervision of personnel

**Program Administrator/Occupational Therapist, Infant Intervention Services, Richmond** **ARC** (**September 1977 – August 1989)**

Responsible for the establishment, development and administration of one of Virginia's first early intervention programs for young children with disabilities and special health care needs. Primary duties included: developing agency policies and procedures that complied with multiple funding source requirements and relevant federal and state regulations; evaluating agency services, developing strategic plans, and ensuring program improvement; managing fiscal operations including budgeting, grant writing, fund raising, and fee collection and reimbursement; developing data systems to collect and report required data to multiple funding sources; conducting marketing and public relations that targeted primary referral sources; and providing technical assistance to early childhood agencies serving young children with disabilities.

**Occupational Therapist, Children's Hospital** (**January 1976 - July 1977)**

Responsible for the provision of occupation therapy services on an in- and out-patient basis to children birth to 21 with mental retardation, orthopedic conditions, neurological disorders, other disabilities, and those with special health care needs.

**Selected Collaborative Activities**

2015-present Cross-Center SSIP Evaluation Workgroup, Representative

2015-present Cross-Center SSIP Workgroup, Co-Facilitator

2013-2014 Regional Resource Center Program, Part C Accountability Priority Area, Co-Lead

2009-2013 Regional Resource Center Program, General Supervision Priority Team

2010-2012 Data Accountability Center, Data Verification/Data Validation Workgroup

2010-2013 National Early Childhood Technical Assistance Center, Early Childhood Outcomes Priority Team

2010-2013 National Early Childhood Technical Assistance Center, Early Childhood Services Priority Team

2009-2013 Regional Resource Center Program, General Supervision Priority Team

2009-2011 Regional Resource Center Program, Early Childhood Transition Priority Team

2005-2009 OSEP Data Community of Practice, Co-Facilitator

**Professional licensure/Certification**

National Board for Certification in Occupational Therapy

Virginia Board of Medicine, Occupational Therapy License

**Publications**

Chandler, B., Lucas, A. (2010). Structure and Interaction of Service Provision Systems. *Early Childhood: Occupational Therapy Services for Children Birth to Five* (pp.1-40)*.* Bethesda, MD: AOTA Press.

**Selected Products**

Kelley, G., Hartsell, K., Eslinger, C., Mathur-Kalluri, M., Lucas, A., Vinh, M. Ferguson, A., Kahn, L., McCullough, K. (2016). *SSIP phase III process guide*. Retrieved from <http://ectacenter.org/topics/ssip/ssip_phase3.asp>

Early Childhood Technical Assistance Center, & Center for IDEA Early Childhood Data Systems. (2016). *SSIP phase II content analysis*. Retrieved from <http://ectacenter.org/topics/ssip/ssip_p2.asp#contentanalysis>

Lucas, A., Hurth, J., & Kelley, G. (2015). *Applying implementation science to state system change: An example of improving the finance system component: Implementation of a family cost participation program in a hypothetical state*. Retrieved from <http://ectacenter.org/~pdfs/sysframe/implement-finance-example.pdf>

Early Childhood Technical Assistance Center. (2015). *A system framework for building high-quality early intervention and preschool special education programs*. Retrieved from <http://ectacenter.org/~pdfs/pubs/ecta-system_framework.pdf>

Early Childhood Technical Assistance Center. (2015). FFY 2013 Part C indicators 1, 2, 3, 4, 5, 6, 7, 8, and 11. In U.S. Department of Education, Office of Special Education Programs (Ed.), *2015 Part C SPP/APR indicator analyses: FFY 2013-2014*. Retrieved from <https://osep.grads360.org/#communities/pdc/documents/9033>

Center for IDEA Early Childhood Data Systems, & Early Childhood Technical Assistance Center. (2015). *Planning, conducting, and documenting data analysis for program improvement*. Retrieved from <http://dasycenter.org/downloads/DaSy_papers/DaSy_SSIP_DataAnalysisPlanning_20150323_FINAL_Acc.pdf>

Early Childhood Technical Assistance Center, & Center for IDEA Early Childhood Data Systems. (2015). *Quick reference guide: Working with stakeholders to identify potential improvement strategies (including the SSIP)*. Retrieved from <http://ectacenter.org/~pdfs/topics/ssip/ssip_strategies_for_improvement.pdf>

Lucas, A., Gillaspy, K., Peters, M. L., & Hurth, J. (2014). *Enhancing recognition of high-quality, functional IEP goals: A training activity for early childhood special education staf*f. Retrieved from <http://www.ectacenter.org/~pdfs/pubs/rating-iep.pdf>

Lucas, A., Gillaspy, K., Peters, M. L., & Hurth, J. (2014). *Enhancing recognition of high-quality, functional IFSP Outcomes: A training activity for infant and toddler service providers*. Retrieved from <http://www.ectacenter.org/~pdfs/pubs/rating-ifsp.pdf>

Lucas, A., Gillaspy, K., & Peters, M. (2014). *Developing high-quality, functional IFSP outcomes and IEP goals: Training package* (Rev. ed.). Retrieved from <http://ectacenter.org/knowledgepath/ifspoutcomes-iepgoals/ifspoutcomes-iepgoals.asp>

Lucas, A., & Kelley, G. (2014). *SSIP phase I writing guide: Indicator 11*. Retrieved from <http://ectacenter.org/~pdfs/calls/2014/ssip/ssip_writing_guide_indicator11_2014-12-17.pdf>

Vinh, M., Lucas, A., Taylor, C., Kelley, G., & Kasprzak, C. (2014). *SSIP phase I roadmap*. Retrieved from <http://ectacenter.org/~pdfs/calls/2014/ssip/SSIP_Phase_I_Roadmap_081914.pdf>

Early Childhood Technical Assistance Center. (2013). *An interactive guide to streamlining and integrating Part C general supervision activities: Monitoring and program improvement*. Retrieved from <http://ectacenter.org/topics/gensup/interactive/default.asp>

Diefendorf, M., & Lucas, A., Lucas, A., Whaley, K. (2012). *Federal IDEA Part C & Part B transition requirements for late referrals to IDEA Part C (≤135 days before child’s 3rd birthday)*. Retrieved from <http://ectacenter.org/~pdfs/topics/transition/timeline_late_referral_09-12_OSEP_approved.pdf>

Lucas, A., Gillaspy, K., Hurth, J., & Kasprzak, C. (2012). *Relationship of quality practices to child and family outcome measurement results*. Retrieved from <http://ectacenter.org/~pdfs/QualityPracticesOutcomes_2012-04-17.pdf>

Lucas, A., Doutre, S., Henkel, J., Taylor, K., Firehammer, L.M., Ramsey, P. (2011). *Determining the extent/level of the noncompliance and its resolution.* Retrieved from <http://ectacenter.org/~docs/topics/gensup/11-DeterminingExtentNoncompRes-2011.doc>

Kasprzak, C., Hurth, J., Lucas, A., Marshall, J., Terrell, A., & Jones, E. (2011). *NECTAC technical assistance model for long-term systems change: Three state examples*. Retrieved from <http://ectacenter.org/~pdfs/pubs/ltsc3states.pdf>

**Selected Presentations**

Lucas, A., Gillaspy, K. (2016, October). *Developing high quality functional IFSP outcomes and IEP goals for young children with disabilities*. Paper presented at the Ontario Association for Infant and Child Development and Children First Essex Windsor 2016 Learning Institute, Windsor, ON, Canada.

Lucas, A., Kelley, G., Eslinger, C., Vinh, M., Ferguson, A., & Schachner, A. (2016, August). *Let's get to work! Implementing and evaluating state systemic improvement*. Paper presented at the 2016 Improving Data, Improving Outcomes Conference, New Orleans, LA.

Derrington, T., Lucas, A., Hardy, J. K., & Kelley, G. (2016, February). *A review of State Systemic Improvement Plans to improve outcomes for children and families participating in early intervention*. Poster session presented at Conference on Research Innovations in Early Intervention (CRIEI), San Diego, CA.

Kelley, G., Lucas, A., Patterson, K., Hancock, C., Morrison, B., Franczkowski, M., Verra-Tirado, M. (2015, August). *Developing a high quality improvement plan*. Paper presented at the 2015 Leadership Conference, All in: Achieving Results Together, Washington, DC.

Schefkind, S., Kasprzak, C., Lucas, A., James, L., Stoffel, A., & Rhein, J. (June 2015). *Early childhood outcomes*. Invited presentation for AOTA Pediatric Virtual Chat. Retrieved from http://recordings.talkshoe.com/TC-73733/TS-952229.mp3

Kasprzak, C., Lucas, A., & Ayankoya, B. (2014, October). *A framework for high quality early intervention and preschool special education*.Paper presented at DEC's 30th Annual Conference on Young Children with Special Needs and Their Families, St. Louis, MO.

Lucas, A., Kelley, G., Derrington, T., Kasprzak, K. (2014, September). *Ready for phase II? Developing an effective systemic improvement plan.* Paper presented at the 2014 Improving Data, Improving Outcomes Conference, New Orleans, LA.

Lucas, A., Massanari, C., Taylor, K., & Gillaspy, K. (2013, July). *Implementing evidence-based practices of a team-based service delivery approach to improve results for children and families*. Paper presented at the 2013 IDEA Leadership Conference, Washington, DC.

Lucas, A. (2013, May). *Developing high quality IFSP outcomes and IEP goals.* Paper presented at 2013 Region 6 Annual Meeting, Los Angeles, CA.

Hurth, J., Lucas, A., Spence, R., Tabor, H. (2012, July). *Part C Regulatory Changes to Parent Rights, Safeguards and Consent: Implications for Families*. Paper presented at 2012 IDEA Leadership Conference, Washington, DC.

Franklin, S., Gillaspy, K., Lucas, A., & Tolley, B. (2012, May).  *Linking early intervention quality practices with child and family outcomes*. Paper presented at the 2012 National Early Childhood Inclusion Institute, Chapel Hill, NC.

Lucas, A., Doutre, S., Russell, K., Ferguson, A., Walsh, S., Henkel, J. (2011, July). *Streamlining and integrating Part C general supervision*. Preconference workshop at OSEP Mega Conference, Arlington, VA.

Lucas, A., Kasprzak, C. (2011). *Data-based decision making: Tools for improving practice.* Paper presented at the 2011 Early Childhood Outcomes Using Data Call Series.

Lucas, A., Franklin, S., Kasprzak, C., Russell, A. (2009).*NOW what do we do?  Using data for state-level program improvement*. Paper presented at the 2009 National Early Childhood Conference: Sustaining Change, Restoring the Promise, Arlington, VA.

Walsh, S., Lucas, A., Head, C., Ridgway, A., Hubbard, MJ., Ryder, R., McPherson, A., Spence, R. (2008). *Using valid and reliable data to identify and correct noncompliance*. Paper presented at Preconference Workshop atBuilding Partnerships for Effective Change: The 2008 OSEP National Early Childhood Conference, Washington, DC.

Lucas, A., Robinson, N., Beever, S., Miller, F. (2007). *Data sharing for tracking children from C to B*. Paper presented at 2007 OSEP/WESTAT Overlapping Part B and Part C Data Meetings, Bethesda, MD.

Lucas, A. White, A., & Harlow, J. (2002). *Applying a logic model to local data in monitoring for better outcomes for children and families*. Paper presented at 2002 National Monitoring Conference, Salt Lake City, Utah.

Lucas, A. et. al. (1999). *Obtaining and using private insurance mandates*. Paper presented at Annual Part C and Section 619 Meeting, Arlington, Virginia.

Lucas, A., et. al. (1999). *Providing and paying for services in natural environments.* Paper presented at Annual Part C and Section 619 Meeting, Arlington, Virginia.